



65-2604

Express Mail No.: EV 346 811025US

IFW

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Cécile AULNETTE et al.

Confirmation No.: 7888

Application No: 10/763,978

Group Art Unit: 2811

Filing Date: January 22, 2004

Examiner:

For: SEMICONDUCTOR STRUCTURE FOR  
PROVIDING STRAINED CRYSTALLINE LAYER ON  
INSULATOR AND METHOD FOR FABRICATING  
SAME

Atty. Docket No.: 4717-7300

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Sir:

Pursuant to applicants' duty of disclosure under 37 C.F.R. 1.56, applicants submit herewith five ( 5 ) references for the Examiner's review and consideration. These references were brought to the attention of the applicants and were cited in the European Search Report and a copy is enclosed.

These references are listed on the enclosed Form PTO-1449. It is respectfully requested that these references be made of record in this application by the Examiner's completion and return of the PTO Form 1449.

No fee is believed to be due for the filing of this statement as it is being submitted prior to an initial office action for this application. Should any fees be required, however, please charge such fees to Winston & Strawn LLP Deposit Account No. 50-1814.

Respectfully submitted,

Date: 5/24/04

Allan A. Fanucci  
Allan A. Fanucci (Reg. No. 30,256)

WINSTON & STRAWN LLP

CUSTOMER NO. 28765

(212) 294-3311

Enclosures

<b>LIST OF REFERENCES CITED BY APPLICANT</b> <i>(Use several sheets if necessary)</i>		ATTY. DOCKET NO.:	APPLICATION NO.:
		4717-7300	10/763,978
		APPLICANT:	
		Cecile AULNETTE et al.	
FILING DATE:		GROUP:	
January 22, 2004		2811	

*O I P E JC169*  
*MAY 24 2004*  
 PATENT & TRADEMARK OFFICE

**U.S. PATENT DOCUMENTS**

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	6,039,803	3/2000	Fitzgerald et al.	117	89	
	AB	2002/0084000 A1	7/2002	Fitzgerald	148	33.2	
	AC						

**FOREIGN PATENT DOCUMENTS**

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	AD							
	AE							

**OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)**

AF	B. Gallas et al., "Influence of misfit and threading dislocations on the surface morphology of SiGe graded-layers"; Journal of Crystal Growth 201/202, pp 547-550, (1999)
AG	Douglas Paul, "The Physics, Material and Devices of Silicon Germanium Technology", Physics World, pp. 1-14.
AH	Asano et al., "Structural characterization of Si <sub>1-x</sub> Ge <sub>x</sub> alloy layers grown by molecular beam epitaxy on Si(001) substrates", American Institute of Physics, Journal of Applied Physics, Vol. 87, No. 12, pp 8759-8765 (2000)
AI	
AJ	

EXAMINER	DATE CONSIDERED

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



EXPRESS MAIL LIST

to:  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

The following items listed below are being filed herewith with the USPTO on May 24, 2004

Express Mail No. **EV 346 811 025 US**

Attorney Docket No.	Appln. Serial No./ Patent No.	Items - Documents filed on May 24, 2004
4717-7300	10/763,978	Supplemental Information Disclosure Statement, Form PTO 1449, 5 refs.; Submission of Certified Copy of Priority; Document No. EP 03290231.4

*Please acknowledge receipt of these items as received by returning the enclosed postcards with the date of receipt of May 24, 2004*

NY:863041.7



EXPRESS MAIL LIST

To:  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

The following items listed below are being filed herewith with the USPTO on May 24, 2004

Express Mail No. **EV 346 811 025 US**

Attorney Docket No.	Appln. Serial No./ Patent No.	Items - Documents filed on May 24, 2004
88265-349	09/640,772	Amendment (9 pages)
88265-7079	09/935,064	Petition for Extension of Time (in duplicate); Amendment
4717-7300	10/763,978	Supplemental Information Disclosure Statement, Form PTO 1449, 5 refs.; Submission of Certified Copy of Priority; Document No. EP 03290231.4
85189-6200	TBA	Utility Patent Application Transmittal (PTO/SB/05); Specification 60 pages including 31 claims; Formal Drawings for Figs. 1-15 (19 sheets); Patent Application Fee Sheet (in duplicate); Executed Inventors' Declaration; Sequence Listing in paper form (12 sheets)

*Please acknowledge receipt of these items as received by returning the enclosed postcards with the date of receipt of May 24, 2004*

NY:863041.7